

# Due Diligence Screening

## Restricted Party Screening

### **A Safeguard Against Corporate and Trade Risk**

Global supply chains are one of the most collaborative environments in an organization, thus inherently the most vulnerable. In an era of elevated enforcement, conducting a thorough screening of party, commodity and destination against hundreds of lists will protect businesses from economic and reputational risk. But that is difficult and costly. E2open Due Diligence Screening provides a secure, proven method to automatically and scalably screen transactions, customers, suppliers and other parties against global lists, maintaining compliance while saving time and cost.

From sourcing and manufacturing through transportation and delivery, and finally, the customer, knowing who you do business with can prevent problems before they happen and alleviate the possibilities of fines and reputational damage. Regulatory roadblocks in the form of restricted party screening, export controls and licenses, trade sanctions, and dual-use restrictions can be mitigated through efficient global trade management solutions backed by current trade information databases.

By utilizing Due Diligence Screening, one of e2open's Global Trade applications, enterprise organizations of any type can check whether a business partner, supplier, contractor or customer is listed on one of the more than 900 official denied parties, politically exposed persons lists, subject to ownership sanctions, or the newest forced labor entity list. Risk assurance is guaranteed by identifying these persons or entities, companies associated with them, the commodities they are shipping and where they are destined to determine if the goods are sanctioned

## Capabilities for Every Screening Challenge

With e2open Due Diligence Screening, companies gain confidence and efficiency in the screening process to transact with new and existing partners confidently

### **Automation to Improve Speed and Lower Risk**

E2open's automated screening provides a thorough, standardized and repeatable process for companies of all sizes and distributed teams. Screening can be conducted through integration to an ERP, CRM or other external systems. It can also be done online through batch or ad hoc screening or dynamically with daily re-screening for specific transactions or designated lists — called grey lists — established by each organization.

### **Thorough and Detailed Screening**

Only screening the parties in a transaction isn't sufficient due diligence. E2open's application covers the bases by screening all aspects of a transaction.

- **Restricted parties:** The tool conducts vigilant screenings against hundreds of blacklists maintained by government agencies worldwide to determine whether to block a sanctioned or embargoed entity.
- **Dual-use/End-use screening:** This is an automated evaluation of shipment or transactional documents to determine if products normally for civilian use may also have military purposes.
- **Destination controls:** Users receive insight into sanctions and embargoes for destination countries.
- **Transaction party screening:** Restricted party screening to evaluate the shipper and buyer of the goods
- **Proximity search:** Gauge transaction risks related to the cargo destination's proximity to various conflict zones.

### **Comprehensive, Current Trade Content**

Companies screen against the leading trade content in e2open's Global Knowledge® application. Updated daily by in-house global trade specialists, this content database contains extensive and accurate regulatory information which feeds into e2open Due Diligence Screening. Screening against notable lists compiled by e2open's partner data providers helps companies manage reputational risk. Direct relationship with Kharon, Dun and Bradstreet, Dow Jones, Bureau Van Dijk, and Acuris Risk Intelligence means complete coverage when needed.

### **Trading Partner Data Management**

With this application, partner screening information can be stored and accessed for reuse in other transactions through a centralized repository. This mitigates unnecessary process steps, such as transferring large partner data sets out of ERP systems for screening or the need for different functional teams to re-screen the same partners for different types of transactions even though they have already been cleared.

### **Unmatched Resolution Workflow**

Companies must manage and resolve matches quickly, so shipments or transactions are not delayed. When matches occur, this application's highly configurable workflow features allow compliance teams to efficiently resolve holds using routing, alerts and escalations. Users can easily view the match score (how close the entered entity is to the name on a list) and drill down directly to the citation itself.

### **Pre-Built Connections to SAP and Salesforce**

Customer, supplier, partner and even employee data is stored in various platforms — most notably external CRM or ERP systems. E2open has built connectors to efficiently screen records in Salesforce and SAP, reducing the need to export and reimport data or rely on manual, repetitive processes that are slow, error-prone and costly.

### **AI and Business Rules for High Accuracy**

The application uses business rules, and intelligent, proprietary artificial intelligence (AI) algorithms developed in-house to deliver the most accurate results available. False-positive rates are generally below 1%, significantly reducing compliance teams' manual workload.

## **Key Features**

- Screens customers, vendors and trading partners against over 800 government-issued lists
- Leverages e2open's proprietary database and add-on partner content for specific lists
- Maintains a complete audit trail of screening activity
- Integrates with enterprise resource planning (ERP) and customer relationship management (CRM) systems such as SAP and Salesforce
- Conducts ad-hoc screening against single records or ongoing automated batch screening
- Employs AI algorithms and business rules that help increase the accuracy of matches
- Enables building of self-configurable reports and exception management escalation alerts
- Provides optional lists to screen against, including the Sanctioned Ownership Research list, State-Owned Companies, Forced Labor, Financial, Embargoed Embassy or Adverse Media Entities lists





## Key Benefits

- Helps prevent illegal transactions and the associated fines and penalties in inventory and 10-20% reduction in expediting costs from more efficient planning of materials and capacity
- Equips multiple departments across the company to meet team-specific compliance needs
- Minimizes manual resolution effort with lower false-positive rates
- Bolsters universal compliance by blocking suspicious parties directly in external systems
- Reduces corporate risk while documenting proof of reasonable care

## About e2open

E2open is the connected supply chain software platform that enables the world's largest companies to transform the way they make, move, and sell goods and services. With the broadest cloud-native global platform purpose-built for modern supply chains, e2open connects more than 400,000 manufacturing, logistics, channel, and distribution partners as one multi-enterprise network tracking over 12 billion transactions annually. Our SaaS platform anticipates disruptions and opportunities to help companies improve efficiency, reduce waste, and operate sustainably. Moving as one.™  
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